

CARDOZO LAW

BENJAMIN N. CARDOZO SCHOOL OF LAW • YESHIVA UNIVERSITY

YESHIVA UNIVERSITY & AFFILIATES PROCEDURES FOR TRANSFERRING SECURITIES

FOR SECURITIES HELD IN A BROKERAGE ACCOUNT

Instruct your broker to transfer the security to Yeshiva University's **BROKERAGE ACCOUNT** at **VANGUARD** using the "DTC" transfer system. Give your broker the following information:

VANGUARD ACCOUNT NAME: YESHIVA UNIVERSITY BROKERAGE ACCOUNT

VANGUARD ACCOUNT NUMBER: 41843384

VANGUARD "DTC" NUMBER: 0062

VANGUARD ACCOUNT OFFICER: ASHLEY NAWROCKI, Phone (610) 503-5687, Fax (610) 669-0959, email ashley_nawrocki@vanguard.com

To ensure prompt processing of your gift, please email or fax the details of your gift to: Gleicher@yu.edu / 212-960-0062.

FOR SECURITIES HELD IN CERTIFICATE FORM

All registered owners must sign a **STOCK** or **BOND** power. The signature(s) must exactly match the name(s) shown on the certificate.

A bank or broker must guarantee the signature(s). The stamp must read "Signature Guaranteed - Medallion Guaranteed." All other stamps, including notary public stamps, are not acceptable for the transfer of securities.

A messenger service or Certified Mail - Return Receipt Requested should be used for the physical delivery of securities.

The securities and stock or bond powers should be sent to the following address:

YESHIVA UNIVERSITY ACCOUNTING DEPARTMENT

500 WEST 185TH STREET, ROOM BH 1013

NEW YORK, New York 10033

ATTENTION: ADAM GLEICHER

Any questions regarding the transfer of securities should be directed to Adam Gleicher, Investment Accounting Manager - Yeshiva University - Phone (646) 592-4364, Fax (212) 960-0062, email gleicher@yu.edu, or Menny Shmueli, CPA, Associate Controller, Investments - Phone (646) 592-4013, Fax (212) 960-0062, email menny.shmueli@yu.edu

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